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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Travis J. Muhlestein et al.

Attorney Docket No: MSFT115921

#2
C. B. Burrell

Application No: 09/900,060

Group Art Unit: 2173

Filed: July 6, 2001

Title: METHOD AND APPARATUS FOR ACCESSING INSTRUMENTATION
DATA FROM WITHIN A MANAGED CODE ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT

Seattle, Washington 98101

September 19, 2001

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TO THE COMMISSIONER FOR PATENTS:

Applicants are aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

1. X Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
2. _____ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. _____, filed _____. The references listed on the attached form were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application.
3. X A concise explanation of the relevance of document I.D. No. 09 (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided in an English abstract appended to said document.
4. X Pursuant to 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the national application (other than a CPA), within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, before the mailing date of a first Office Action on the merits, or before the mailing date of a first Office Action after the filing of an RCE.

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5. _____ Pursuant to 37 C.F.R. § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution, and is accompanied by:
- a. _____ a certification statement as specified in 37 C.F.R. § 1.97(e); or
 - b. _____ the submission fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of \$180.00 is enclosed.
6. _____ Pursuant to 37 C.F.R. § 1.704(d), this Information Disclosure Statement is accompanied by a statement that each item of information contained in this Information Disclosure statement was cited in a communication from a foreign patent office in a counterpart application. Such communication was received by an individual designed in 37 C.F.R. § 1.56(c) on _____, which is not more than thirty days prior to the filing of this Information Disclosure Statement.
7. _____ The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date: September 19, 2001

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**INFORMATION CITED BY APPLICANTS THAT MAY BE MATERIAL TO THE
PROSECUTION OF THE SUBJECT APPLICATION**

Applicants: Travis J. Muhlestein et al. Attorney Docket No. MSFT115921
 Application No: 09/900,060 Group Art Unit: 2173
 Filed: July 6, 2001 Examiner:
 Title: METHOD AND APPARATUS FOR ACCESSING INSTRUMENTATION
 DATA FROM WITHIN A MANAGED CODE ENVIRONMENT



U.S. PATENT DOCUMENTS

*Examiner Initial	ID	Document No.	Date	Name
	U1	none cited		
	U2			
	U3			

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FOREIGN PATENT DOCUMENTS

*Examiner Initial	ID	Document No.	Date	Country	Translation Provided	
					Yes	No
	F1	none cited				
	F2					
	F3					

OTHER INFORMATION

(Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner Initial	ID	
	O1	Festor, O., et al., "Integration of WBEM-Based Management Agents in the OSI Framework," INTEGRATED NETWORK MANAGEMENT VI, DISTRIBUTED MANAGEMENT FOR THE NETWORKED MILLENNIUM, PROCEEDINGS OF THE SIXTH IFIP/IEEE INTERNATIONAL SYMPOSIUM ON INTEGRATED NETWORK MANAGEMENT, 1999, pp. 49 - 64.

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- _____ O2 Hariri, S., et al., "Design and Analysis of a Proactive Application Management System (PAMS)," PROCEEDINGS OF NETWORK OPERATIONS AND MANAGEMENT SYMPOSIUM 'THE NETWORKED PLANET: MANAGEMENT BEYOND 2000', 2000, pp. 89 - 101.
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- _____ O10 Vidal, M.E., et al., "A Meta-Wrapper for Scaling up to Multiple Autonomous Distributed Information Sources," PROCEEDINGS, THIRD IFCIS INTERNATIONAL CONFERENCE ON COOPERATIVE INFORMATION SYSTEMS, 1998, pp. 148 - 157.
- _____ O11 Yamagishi, K., et al., "An Implementation of a TMN-Based SDH Management System in Japan," IEEE COMMUNICATIONS MAGAZINE, Vol. 33, No. 3, March 1995, pp. 80 - 85.
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Date Considered

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